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\*Admitted only in Maryland \*Admitted only in Virginia •Practice Limited to Federal Agencies

May 20, 2004

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Art Unit To Be Assigned

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 10/824,358; Filed: April 15, 2004

For: Process for Producing High Purity Isoflavones

Inventors:

Hilaly et al.

Our Ref:

1533.6040002/PAJ/NJL

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Form PTO-1449 citing 9 documents; and
- 3. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox P.L.L.C.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents May 20, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Nancy J. Leith

Nancy J. Leith Agent for Applicants Registration No. 45,309

PAJ/NJL:cpn Enclosures

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HILALY et al.

Appl. No.: 10/824,358

Filed: April 15, 2004

For: Process for Producing High Purity

**Isoflavones** 

Confirmation No.: To be assigned

Art Unit: To be assigned Examiner: To be assigned

Atty. Docket: 1533.6040002/PAJ/NJL

## **Information Disclosure Statement**

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. No copies of U.S. patents and patent application publications cited on the attached Form PTO-1449 are submitted in accordance with 1276 OG 55 because this application was filed after June 30, 2003.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

The Examiner's attention is directed to co-pending U.S. Appl. No. 10/409,983, filed April 9, 2003, published on January 29, 2004 as U.S. Pub. No. 2004/0019226 A1, and submitted herewith as document AI1. The Examiner is respectfully requested to consider the cited application and the art cited herein upon examination.

HILALY *et al.* Appl. No. 10/824,358

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This filing is made under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

Naucy J. Leth

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Date: May 20, 2004

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FORM PTO-1449

TION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1533.6040002/PAJ/NJL APPLICATION NO. 10/824,358

APPLICANT

HILALY et al.

GROUP

FILING DATE April 15, 2004 To be assigned

U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE			
	AA1	4,172,828	10/30/1979	Davidson et al.			04/27/1978			
	AB1	5,670,632	09/23/1997	Chaihorsky			01/18/1996			
	AC1	5,679,806	10/21/1997	Zheng et al.			02/24/1995			
	AD1	5,702,752	12/30/1997	Gugger et al.			03/13/1996			
	AE1	5,792,503	08/11/1998	Gugger et al.			06/04/1997			
	AF1	5,919,921	07/06/1999	Waggle et al.			05/29/1998			
	AG1	6,033,714	03/07/2000	Gugger et al.			03/05/1998			
-,	AH1	6,320,028 B1	11/20/2001	Konwinski			12/04/2000			
	AI1	2004/0019226 A1	01/29/2004	Hilaly et al.			04/09/2003			
	AJ1									
	AK1									

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION			
	AL1						Yes No			
	AM1						Yes No			
•	AN1						Yes No			
	A01		_				Yes No			
	AP1						Yes No			

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	OTHE	R (Including Au	uthor, Title,	Date,	Pertinent	Pages,	etc.)	 	

EXAMINER DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.